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PATENT APPLICATION DOCKET # 5034-0001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Michael G. Taylor

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Serial No.: 10/728,247

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Group Art Unit: Unknown

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Filed: December 4, 2003

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Examiner: Unknown

For: COHERENT OPTICAL DETECTION AND SIGNAL PROCESSING METHOD AND

SYSTEM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR §1.56 and in accordance with 37 CFR §§1.97-1.98 information relating to the above-identified application is hereby disclosed. Copies of each of the reference listed on the attached Form PTO/SB/08B is enclosed herewith. Certain of the reference may contain markings,

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made thereon during the study of the references by applicant and/or its attorneys.

Respectfully submitted,

Michael L. Diaz

Registration No. 40,588

Dated: 9 - 24 - 04

Michael L. Diaz, P.C. 555 Republic Drive, Suite 200

Plano, Texas 75074

(972) 578-5669

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Complete if Known | | | | |
|------------------------|-------------------|--|--|--|
| Application Number | 10/728247 | | | |
| Filing Date | 12-04-2003 | | | |
| First Named Inventor | Michael G. Taylor | | | |
| Art Unit | | | | |
| Examiner Name | | | | |
| Attorney Docket Number | 5034-0001 | | | |

| | | | U. S. PATENT | DOCUMENTS | | |
|-----------------------|---|------------------------|--------------------------------|--|---|--|
| Examiner Initials* | r Cite Document Number No.1 Number-Kind Code ^{2 (f known)} | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | |
| | | ^{US-} 5323258 | 06-21-94 | Tsushima et al. | | |
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|--------------------|--------------------------|---|---------------------|--|---|----------------|--|--|
| Examiner Initials* | Cite No.1 | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | | | |
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | MM-DD-YYYY | , , | Or Relevant Figures Appear | T ⁶ | | |
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|------------------------------|------------------|----------|-----------|------------------------|-------------------|--|
| | | | | Application Number | 10/728,247 | |
| | | | CLOSURE | Filing Date | 12-04-2003 | |
| STATEMENT BY APPLICANT | | | PPLICANT | First Named Inventor | Michael G. Taylor | |
| | (Use as many she | ote se n | ecessary) | Art Unit | | |
| | (Ose as many sne | | ecessary) | Examiner Name | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | 5034-0001 | |

| | | NON PATENT LITERATURE DOCUMENTS | |
|--------------------|--------------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | | HABBAB ET AL., "Polarization-Switching Techniques for Coherent Optical Communications," Journal of Lightwave Technology, Oct. 1988. | |
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| Examiner | Date | |
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| Signature | Considered | - |

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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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